INTERNAL AUDIT BLANCOCCUS					T.	A DDENDIY 6		1
INTERNAL AUDIT PLAN - 2014/15						APPENDIX 2	_	
ABEA OR OUR IEST OF RELITED	B: 1 E ::	A 177 /		<u> </u>			_	
AREA OR SUBJECT OF REVIEW	Risk Rating	Audit type	days alloca	ated			+	
VEV FINANCIAL OVOTEMO							_	
KEY FINANCIAL SYSTEMS								
MAIN ACCOUNTING & PURCETARY CONTROL	1	Vey Financial Custom	45				+	
MAIN ACCOUNTING & BUDGETARY CONTROL TREASURY MANAGEMENT	Low	Key Financial System	15					
CREDITORS		Key Financial System						
DEBTORS	Medium	Key Financial System - interim Key Financial System	15					1
COUNCIL TAX	Low	Key Financial System Key Financial System interim	15					
NNDR	Low	Key Financial System Interim Key Financial System - interim	5				-	
PAYROLL			5				+	
BANK REC & CASH		Key Financial System - interim Key Financial System	15				-	
HOUSING & COUNCIL TAX BENEFITS		Key Financial System - interim	5				-	
CAPITAL STRATEGY & PROGRAMME MANAGEMENT			15				+	
HOUSING RENTS	Low	Key Financial System Key Financial System - interim	15				+	
HOUSING RENTS HOUSING RESPONSIVE REPAIRS & PROPERTY CONTR		Key Financial System - Interim Key Financial System	20				+	
HOUSING RESPONSIVE REPAIRS & PROPERTY CONTR	viviealum	ney rinanciai System	20	118			+	
							+	
CTRATECIC & OPERATIONAL DIGUE							+	
STRATEGIC & OPERATIONAL RISKS							+	
Cashla Crayanda/Darka 9 Onen Crassa	Madium	Diels Deced Deview					+	
Castle Grounds/Parks & Open Spaces	Medium	Risk Based Review	7				+	
Commissioning	Medium	System Based Review Risk Based Review	7				+	
Housing Anti Social Behaviour	Medium		7				-	
Estate Caretaking	Medium	Risk Based Review						
Homelessness	Medium	Risk Based Review	7				+	
Private Sector Housing	Medium	System Based Review	8					
Right to Buy Sales	Low	System Based Review	7					
ICMC/Customer Services	High	System Based Review						
Performance Management	High	System Based Review	12				+	
Project Management	High	System Based Review	12				+	
Equalities	Medium	System Based Review	8				+	
Time Recording & Absence	Medium	System Based Review	8					
VAT	High	System Based Review	8					
				109			1	
LOT ALIDIT DELUEINO								
ICT AUDIT REVIEWS								
10007004		LOT :						
ISO27001	Low	ICT review	8					
ISO20000	Low	ICT review	8					
Remote Access		ICT review	8					
DIP Application Review	High	ICT review	7					
Website	High	ICT review	8					
IT Change Control		ICT review	7					
Internet Controls	High	ICT review	8					
M3 Application Review	High	ICT review	8					
Data Protection	High	ICT review	8				1	
IT Disaster Recovery		ICT review	8					
Express application review		ICT review	7				_	
Network Controls	High	ICT review	7					

			92			
CONSULTANCY						
Golf Course project		8				
Legal Spend Review		5				
			13			
IMPLEMENTATION REVIEWS						
Data Quality & Records Management		2				
Legal Compliance		2				
Cemeteries		2				
Disabled Facilities Grants		2				
Health & Safety		2				
Community Leisure		2			+	
Sheltered Housing		2			 	
Commercial/ Industrial Properties		2			 	
RIPA		2			+	-
Asbestos & Legionella		2			-	
Corporate Property Management		2				
Corporate Property Management Committee Decisions & Reporting					_	
		2			-	
Standards & Declarations		2			_	
Gazzetter		2			<u> </u>	
Scheme of Delegation		2			_	
Castle		2			_	
Corporate Business Continuity		2				
Income Management		2				
Homelessness		2				
Safeguarding Children & Vulnerable Adults		2				
Licences		2				
Corporate Policy Management		2				
Section 106 agreements		2				
Tourism and Town Centre		2				
Procurement		2				
Gifts & Hospitality		2				
Organisational Development		2				
Orchard Applicagtion		2				
I Trent Application		2				
Exchange/Email Application		2				
CRM		2				
BACs		2				
Softwate Asset Management		2	66		<u> </u>	
		_			<u> </u>	
ANNUAL GOVERNANCE & ASSURANCE FRAMEWORK						
A TOUR PROPERTY OF THE PROPERT					 	
ANNUAL GOVERNANCE STATEMENT	HIGH	13			+	
ANNUAL AUDIT OPINION	HIGH	3				
ANNUAL AUDIT PLANNING & REVIEW	HIGH	6			 	
COUNTER FRAUD CULTURE	HIGH	О			+	
- NATIONAL FRAUD INITATIVE & PROACTIVE COUNTER		4.5			 	
- NATIONAL FRAUD INITATIVE & PROACTIVE COUNTER - REVIEW OF FRAUD RISK REGISTER	T FRAUD WURK	15			 	-
	MUIOU.	2			 	
- REVIEW OF COUNTER FRAUD POLICIES AND DOCUM	пып	3			<u> </u>	

INTERNAL AUDIT QUALITY ASSURANCE & IMPROVEMENT	HIGH	3				
REVIEW OF FINANCIAL GUIDANCE	HIGH	4	4	49		

DEVIEW WORK COMPLETED ON DELIALE OF STAFF	ODDCIJIDE CO	UNITY COUNCIL				
REVIEW WORK COMPLETED ON BEHALF OF STAFF	OKDSHIKE CO	UNITCOUNCIL				
DENCION CONTRIBUTIONS	MEDILIA	CUDCTANTIVE TECTING				
PENSION CONTRIBUTIONS	MEDIUM	SUBSTANTIVE TESTING	2	2		
CORPORATE SUPPORT						
GOVERNANCE TRAINING			5			
AUDIT & GOVERNANCE COMMITTEE SUPPORT			15			
EXTERNAL AUDIT LIAISON			2			
SPECIAL INVESTIGATIONS			20			
CONTINGENCY			30	72		
TOTAL AUDIT DAYS			521			
				521		
Approvals						
Name		Signature	Date			
Audit & Governance Committee - Chair						
CMT - Chief Executive						
Executive Director Corporate Services						
Head of Internal Audit Services						